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Deposition of NCD films using hot filament CVD and Ar/CH₄/H₂ gas mixtures

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9 Abstract

10Ar/CH₄/H₂ gas mixtures have been used in an attempt to deposit nanocrystalline (NCD) diamond and ultra-nanocrystalline (UNCD) 11 diamond films using hot filament (HF) chemical vapour deposition (CVD). A detailed composition map has been developed for the type of films deposited in the Ar/CH₄/H₂ system. It was found that the standard gas mixtures of 1%CH₄/Ar (+1-2%H₂) that are used 1213successfully to grow UNCD films in microwave plasmas produce only graphitic film growth in a HF system. A 2-dimensional computer model was used to calculate the gas phase composition for these conditions. The non-uniform temperature distribution arising from the hot 14 filament produces a substantial decrease in gas phase H atoms near to the substrate surface, whilst [CH₃] remains almost constant. We find 15that the [H]: [CH₃] ratio near the surface decreases from \sim 5:1 for 1%CH₄/H₂ gas mixtures to 1:36 for 1%CH₄/Ar mixtures, and that this 1617can explain the decrease in growth rate and the reduction in film quality toward nanocrystalline or graphitic films. Increasing the H_2 content in the gas mixture improves the situation, but NCD growth was confined to a limited composition window at the boundary of the 1819microcrystalline diamond growth region and 'no growth' region. A 2D model of a microwave CVD system has also been developed 20which gives the gas phase composition for the various Ar-rich gas mixtures. We find that due to the higher temperatures within the plasma 21ball, plus the fact that the gas temperature close to the substrate surface is in excess of 2000 K ensures that the [H]: [CH₃] ratio remains 22 \gg 1, and thus permits growth of diamond, NCD or UNCD. Furthermore, since the model shows that [CH₃] and [C₂H] are always much 23greater than $[C_2]$, this suggests that CH₃ and C₂H species may be more important growth precursors than C₂ under typical UNCD 24deposition conditions.

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27 Keywords: Nanocrystalline diamond; Hot filament CVD; Ar/CH₄/H₂ gas mixtures

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29 **1. Introduction**

30 Diamond films can be deposited using a chemical vapour 31deposition (CVD) process involving the gas phase decom-32position of a gas mixture containing a small quantity of a 33 hydrocarbon in excess hydrogen [1]. A typical gas mixture 34 uses 1%CH₄ in H₂, and this produces polycrystalline films 35with grain sizes in the micron or tens of micron range, 36 depending upon growth conditions, substrate properties and 37 growth time. The gas mixture can be activated in a number of ways, such as microwave (MW) plasma, combustion 38 torch, or a hot metal filament. The appearance and proper-39ties of the microcrystalline diamond (MCD) films produced 40by each method are very similar, since with all these 41 methods the gas phase chemistry is very similar. This is 42because the initial reactant gases are converted to approx-43 imately the same steady-state mixture of hydrocarbon 44 fragments and hydrogen atoms above the growing surface 45[2,3]. The Bachmann diagram [4] indicates that, due to this 46similarity in steady-state chemistry, MCD deposition only 47depends upon the ratios of C:H:O in the input gases, and 48not on their specific chemical identities. 49

A high concentration of hydrogen atoms close to the 50 substrate surface is crucial in the deposition process, since H 51

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52performs a number of important functions. First, H atoms can etch surface graphitic (sp²) carbon many times faster 5354than diamondlike (sp³-bonded) carbon. Second, the H atoms help to terminate the 'dangling bonds' on the diamond 55surface, thus stabilising the surface while growth takes 5657place. Also, the H atoms react with large gas phase hydrocarbon fragments, splitting them into small pieces 5859thus preventing polymer build-up. Finally, atomic H creates radical sites on the surface by undergoing H-abstraction 60 61reactions, removing some of the terminal hydrogens. It is 62generally believed [5,6] that the main growth species in 63 standard CVD diamond growth is the CH₃ radical, which 64adds to the diamond surface stepwise following successive hydrogen abstraction by H atoms. Thus, a high concen-6566 tration of atomic H at the surface is a prerequisite for 67 successful MCD deposition.

68 By increasing the ratio of methane in the standard CH₄/ H_2 gas mixture from 1% to ~5%, the grain size of the films 69 70decreases, and eventually becomes of the order of hundreds 71down to tens of nanometres. Such nanocrystalline diamond 72(NCD) films (often termed 'cauliflower' or 'ballas' dia-73 mond) are smoother than the microcrystalline ones, but have larger numbers of grain boundaries that contain substantial 7475graphitic impurities. With further addition of CH₄, the films 76become graphitic.

77Recently, so-called ultra-nanocrystalline diamond (UNCD) films have become a topic of great interest, since 7879they offer the possibility of making smooth, hard coatings at 80 relatively low deposition temperatures, which can be 81 patterned to nanometre resolution [7]. These differ from 82 NCD films, since they have much smaller grain sizes ($\sim 2-5$ 83 nm), and have little or no graphitic impurities at the grain boundaries. Most reports of the deposition of these films 84 85 describe using a microwave plasma CVD reactor and gas 86 mixture of 1%CH₄ in Ar, usually with addition of 1-5% H₂. 87 Addition of nitrogen to the plasma during CVD has been found to give the films characteristics that are similar to n-88 89 type semiconductors, suggesting possible applications in 90 electronic devices. However, the fundamental growth 91 mechanism of these UNCD films is still unclear. Originally 92it was suggested [8] that the C₂ radical played an important 93 role in the growth mechanism. However, recent work by 94ourselves [9] and others [10] have cast doubt on the veracity 95of this C_2 mechanism. It now seems more likely that it is a 96delicate balance between the concentrations of CH_3 and C_2 97 (and/or C₂H) radicals close to the substrate surface that 98determines the growth morphology and hence the properties 99 of the resulting film.

100 To date, there has only been one report of the use of 101 similar Ar/CH₄/H₂ gas mixtures to deposit NCD (or UNCD) 102 in a hot filament (HF) reactor [11]. In that report, the 103 compositional diagram for mixtures of Ar, CH₄ and H₂ was 104 mapped out corresponding to the type of film grown. It was 105 found that for CH₄/H₂ concentrations below 1% (in the 106 presence of Ar) no growth was observed, whereas MCD 107 was deposited for CH₄/H₂ concentrations between 1% and 5% and Ar/H₂ concentrations between 0% and 95%. Higher 108concentrations of methane generally led to non-diamond 109growth. NCD deposition occurred only in a very narrow gas 110 composition window, CH₄/H₂=2-5% and Ar/H₂=95.5-111 98%, and this gave diamond films with grain size \sim 50 nm 112and high phase purity. However, these gas mixtures are still 113relatively hydrogen-rich compared to the 1%CH₄/Ar (+1-1142%H₂) mixtures that are used to deposit UNCD films in 115MW reactors. 116

In this paper we report attempts to deposit NCD and 117UNCD films in a hot filament reactor using Ar/CH₄/H₂ gas 118 mixtures, both in hydrogen-rich and hydrogen-poor envi-119ronments. We also use 2-dimensional modelling of the gas 120chemistry in both hot filament and microwave reactors to 121attempt to understand the observations, and finally to show 122how the experimental and theoretical data may help to shed 123some light on the (U)NCD growth mechanism. 124

2. Experimental

Films were deposited using a standard hot filament 126reactor operating at a pressure of 100 Torr using high purity 127Ar, CH_4 and H_2 as source gases. Mass flow controllers were 128used to vary the ratios of the 3 gases: [CH₄]/([CH₄]+[H₂]) 129between 1% and 100% and $[Ar]/([Ar]+[H_2])$ between 0% 130and 100%, with the flow of CH₄ being constant at 1 sccm 131throughout (i.e. the flows of the other gases, and the total 132gas flow were changed accordingly). This was in order to 133keep the number of carbon atoms entering the chamber 134constant, so as to compare growth rates and film properties 135reliably. The filament was made from a 0.25 mm-diameter 136Ta metal, wound around a 3 mm-diameter core to produce a 1372 cm-long coil that was positioned 5 mm from the substrate 138surface. Due to the higher thermal conductivity of H₂ 139compared to Ar, for H₂-rich gas mixtures a higher filament 140current (~ 6.5 A) was required, as compared to Ar-rich gas 141mixtures (4-5 A), to achieve the same filament temperature 142of 2400 °C (monitored using a 2-colour optical pyrometer). 143The substrate was single crystal Si (100) which had been 144manually abraded prior to deposition using $1-3 \mu m$ 145diamond grit, and then ultrasonically cleaned with propan-1462-ol. The substrate sat on a separate heater to give additional 147uniform heating and to maintain it at a temperature of 148~850–900 °C (also measured using the optical pyrometer). 149Typical deposition times were 8 h, although some runs at 150higher methane concentrations were abandoned early due to 151premature filament breakage. For methane concentrations 152above 5% it was found that the filament would become 153covered with a thick, smooth, rounded coating after a period 154of 1 or 2 h (see Fig. 1(a)). This coating reduced the 155efficiency of the filament, and along with an increase in 156resistance, this meant that a higher current was needed to 157maintain the same temperature. Eventually the current 158required became so large that the filament failed. Laser 159Raman analysis of this coating gave a spectrum character-160

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Fig. 1. (a) A SEM micrograph of the graphitic coating seen on the Ta filament after a 3 h growth in a 1%CH₄/Ar gas mixture. (b). A SEM micrograph of the isolated nanoparticles seen on the Si surface after an 8 h growth in a 20%CH₄/(CH₄+H₂), 99.4%Ar/(Ar+H₂) gas mixture.

161 istic of crystalline graphite. After deposition the films were 162 analysed by UV (325 nm) laser Raman spectroscopy and

163 scanning electron microscopy (SEM).

3. Modelling

In order to understand the gas phase chemistry occurring 165in the CVD chamber, the composition of the gas mixture 166was calculated using an existing 2D and 3D model that has 167been specifically tailored to a reactor of this geometry [12]. 168The models comprise three blocks, which describe (i) 169activation of the reactive mixture (i.e. gas heating and 170catalytic H atom production at the filament surface), (ii) gas 171phase processes (heat and mass transfer and chemical 172kinetics), and (iii) gas-surface processes at the substrate. 173The gas phase chemistry and thermochemical input is taken 174from the GRI-Mech 3.0 detailed reaction mechanism for C/ 175H/Ar gas mixtures [13]. As in previous studies [14-16] the 176conservation equations for mass, momentum, energy, and 177species concentrations, together with appropriate initial and 178boundary conditions, thermal, and caloric equations of state, 179are each integrated numerically until steady-state conditions 180are attained. This yields spatial distributions of the gas 181 temperature, T_{gas} , the flow field, and the various species 182number densities and mole fractions. 183

We have also developed a model of plasma-chemical 184kinetics and heat and mass transfer to allow a full 2D 185simulation of the same gas mixtures in a MW reactor. 186Parameters for the model are taken from experimental 187observations of the shape and size of the plasma ball in our 188Astex-style microwave reactor operating at 800 W, with 189different Ar/CH₄/H₂ gas mixtures. For example, an 800 W 1901%CH₄/2%H₂/97%Ar plasma at 100 Torr produced a 191plasma ball that is roughly hemispherical (radius ~ 2.5 192cm) and positioned ~ 1 mm above the substrate. A full 193 description of the model and its comparison with exper-194imental MW plasmas will be given in a later paper [17] but, 195briefly, the set of non-stationary conservation equations for 196mass, momentum, energy and species concentrations were 197 solved numerically in cylindrical (r, z) coordinates. Electro-198



Fig. 2. Gas temperatures for the hot filament system (T_{sub} =1130 K and substrate-filament distance=5 mm) and MW plasma system (800 W, 100 Torr), calculated by solving the 2D energy conservation equations in the model for the gas mixture in both our reactors, and for 1%CH₄/2%H₂/Ar gas mixture.

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Fig. 3. An experimental composition map of the Ar/CH₄/H₂ gas mixture for a hot filament reactor. The plotted points are from growth experiments, and the positions of the boundary lines between the 3 regions have been estimated from these. Key: 'Graphitic' means either that the films were predominantly sp² carbon in character (as determined by Raman spectroscopy) or that the filament became coated in a graphitic layer preventing film deposition. 'MCD' means microcrystalline diamond, giving a well resolved 1332 cm⁻¹ Raman line and facetted crystallite sizes >0.1 µm. 'NCD' means nanocrystalline diamond, and were films that appeared amorphous or had crystallite sizes <0.1 µm, but which also exhibited the 1150–1190 cm⁻¹ Raman line (see Fig. 5). 'No Deposition' is where no obvious films were deposited after 8 h of growth, although in some cases isolated crystallites were observed lying on top of the substrate.

199 magnetic fields are not calculated in this approach. Instead,

200 a uniform electron temperature, $T_{\rm e}$, and absorbed power 201 density are applied to a hemisphere approximately corre-202 sponding to the observed experimental plasma region. $T_{\rm e}$ values in the range of 1.3-1.5 eV for 1%CH₄/H₂ and 2.4-2032.7 eV for 1%CH₄/2%H₂/97%Ar were chosen using an 204electron and plasma kinetic 0D model to be consistent with 205experimental input powers of ~800 W. In the 0D model, the 206balance equations for charged and neutral species are solved 207for given reduced electric fields. Simultaneously, the 208electron energy distribution function for the chosen gas 209mixture composition is calculated by solving the Boltzmann 210equation with a two-term approximation and local field 211approach, using a set of known electron-particle collision 212cross-sections [18]. As a result, the steady-state species 213number density distributions, $T_{\rm e}$, and rate coefficients of 214electron reactions as a function of T_e are obtained. 215Established plasma-chemical mechanisms (~35 species 216and ~300 reactions for H/C/Ar mixtures [19,20]) together 217with the electron temperature dependence of the electron 218collision processes are used in the 2D model of the 219220microwave reactor.

Two tests show that this model gives what appears to be a 221 realistic description of the plasma processes. First, the 222calculated number density for the C₂ radical in the centre of 223the plasma ball for a 1%CH₄/2%H₂/97%Ar gas mixture 224(100 Torr, 800 W) is $\sim 10^{12}$ cm⁻³, which agrees closely 225with experimental measurements from similar plasmas [10]. 226Second, the calculated gas temperature in the centre of the 227 plasma is 3000 K, dropping to <1800 K close to the 228substrate (see Fig. 2). This is consistent with measurements 229of the gas temperature (usually taken from rotational 230temperatures of C_2) in similar Ar/CH₄/H₂ plasmas [9,10,21]. 231

4. Results

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Fig. 3 shows a composition map for the $Ar/CH_4/H_2$ 233 system in our HF reactor. This broadly resembles the results 234



Fig. 4. Laser Raman (325 nm) spectrum from a film deposited with $[CH_4]/([CH_4]+[H_2])=4\%$ and $[Ar]/([Ar]+[H_2])=90\%$ at 100 Torr pressure, showing the presence of the 1150–90 cm⁻¹ peak associated with NCD, plus a discernible diamond peak at 1332 cm⁻¹ and broad G and D bands.

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Fig. 5. Laser Raman (514 nm) spectrum from a film deposited with 1%CH₄/Ar at 100 Torr pressure, characteristic of crystalline graphite.

235 of Lin et al. [11], in that there is only a narrow composition 236 range in which MCD or NCD is deposited. Generally, if the methane concentration becomes too high the filament 237238becomes coated in a graphitic layer inhibiting gas activation and thus stopping subsequent film growth. Any films that 239240are deposited consist of graphitic or non-diamond carbon. 241With low concentrations of methane, no film growth is seen 242(or the growth rate is too low to discern growth in a deposition time of 8 h). Diamond films are deposited only in 243244a very narrow region around $[CH_4]/([CH_4]+[H_2]) \sim 1-6\%$. 245The top boundary of this region is poorly defined, with the film quality gradually becoming more nanocrystalline with 246increasing CH₄ concentration until either the filament 247becomes covered in soot ending film growth, or graphitic 248films are deposited. The lower boundary appears to be much 249250sharper, with the film quality changing from MCD to 'no growth' within less than 1% change in CH₄ concentration. 251252Very close to the boundary between MCD and 'no growth', 253we observe some NCD film deposition. The evidence for NCD was taken as the presence of the $1150-1190 \text{ cm}^{-1}$ 254255Raman line (see Figs. 4 and 5). The growth rate of NCD 256films in this boundary region was low, around 0.1 μ m h⁻¹. Immediately below the boundary, i.e. just inside the 'no 257258growth' region, we often observed isolated nanoparticles lying on the surface, but which appeared not to be bonded to 259260 the surface (see Fig. 1(b)).

261 5. Discussion

262 Some questions arise from these findings. Why is it that 263 gas compositions such as $1\%CH_4/Ar$ (with or without 1– 264 2% added H₂) grow UNCD films in a MW reactor, but the 265 same gas composition in a hot filament reactor produces 266 only graphitic films? In other words, why does the idea 267 behind the Bachmann diagram, which works for the H-rich gas mixtures, fail for these Ar-rich/H-poor gas mixtures? Is 268 there some difference between the gas phase chemistry in 269 the two reactors in the Ar/CH₄/H₂ system? 270

The results from the computer modelling of the gas phase 271composition may help to answer these questions. There are 272three major differences between MW plasma activation and 273hot filament activation of these types of gas mixtures at 274these pressures. The first is that the MW system has much 275more input power than a HF system, 800 W compared to 276 \sim 120 W, respectively. Thus gas mixture activation is liable 277to be far more extensive in a MW system, as well as it 278having a higher overall gas temperature. 279

Second, a HF system relies only upon thermal energy 280and catalytic H₂ dissociation on the filament surface to 281initiate the gas phase chemistry, whereas for a MW system, 282in addition to thermal activation, there are plasma activation 283processes e.g. direct electron impact excitation and ionisa-284tion, and reactions between molecules, ions and excited 285atoms. For example, previous calculations [22] for MW and 286DC discharges used to grow CVD diamond showed that 287electron impact on H₂ was the dominating mechanism for 288dissociation at low temperature. Thermal dissociation 289became comparable with electron impact dissociation only 290at much higher temperatures, T_{gas} > 2800-3000 K. Thus 291MW plasmas will be considerably more efficient at 292producing radicals and ions than hot filament reactors, 293especially at lower gas temperatures. 294

The third major difference between the two reactor 295systems is in the gas temperature distribution. The hot 296filament system has a localised source of heat (the 297filament), and the gas temperature drops off rapidly with 298distance away from this. The rate of drop-off depends 299upon the thermal conductivities of the components of the 300 gas mixture, as well as the position and temperature of the 301substrate. Fig. 2 shows the calculated temperature distri-302 bution for a 1%CH₄/Ar gas mixture, with the filament 303 6

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304 temperature at 2400 K and a substrate at a distance of 5 305 mm maintained at a temperature of 1130 K. The figure 306 also shows the corresponding curve for the same gas 307 mixture in a 800 W MW system. The plot shows that, for conditions closely resembling those in our HF reactor, the 308 309 gas temperature drops off rapidly at distances away from 310 the filament, reaching <1200 K for gas 1 mm above the growing surface. It is known from previous experimental 311 312 [2,20] and theoretical [9,23] studies of 1%CH₄/H₂ mix-313 tures that a gas temperature of >1400 K is needed for 314 efficient thermal dissociation of H₂ which can then 315 subsequently react with gas phase hydrocarbons to release 316 the diamond growth precursor, CH₃. The calculations show 317 that temperatures in excess of this only occur within 2.5 318 mm of the filament. Thus, it is clear that a HF system is 319 very inefficient at producing H atoms near to the substrate 320 surface. Therefore, for growth to occur, the H atoms that 321 are created within the 2.5 mm 'hot region' close to the 322 filament need to diffuse through the colder background gas 323 to the surface. Some of them inevitably will be lost via 324 reactions with background gas molecules during this 325 journey. Conversely, the MW plasma is seen to be much hotter (3000 K) in the centre of the plasma ball, and even 326 with the temperature drop-off toward the substrate, we still 327 calculate a gas temperature of 2000 K within 1 mm of the 328 substrate surface. Thus, the MW system produces gas 329 temperatures at or close to the substrate surface which are 330 significantly hotter than those in a HF system, and thus the 331 important reactions that generate H atoms can occur much 332 closer to the growing surface. 333

But if there is such a large apparent difference in gas 334 activation in the two reactor types, why then is the growth of 335MCD films from a standard 1%CH₄/H₂ gas mixture 336 337 seemingly independent of reactor type, as the Bachman diagram shows? If the background gas is mostly hydrogen 338 (as in a 1%CH₄/H₂ gas mixture) then (a) the initial 339 concentration of H atoms created near the filament will be 340 large, and (b) the small collision cross-section for H and H₂ 341means that the diffusion coefficients of the H atoms will be 342 large. Thus, a high concentration of H atoms will survive the 343 journey from the filament to the substrate surface. There-344fore, the rapid temperature drop-off will not significantly 345affect the H atom concentration near the substrate, and so 346 diamond growth can still occur readily. 347

t1.1 Table 1

	Species concentration of the gas phase components present in a H	IE and a M	W reactor at 60	Torr calculated for	r different input g	as mixtures at	t a position 1	mm
t1.2	above the substrate surface			Torri, curculated for	i uniereni input g		a pooliion i	

t1.3		Hot filament reactor)	MW reactor		
t1.4		1%CH ₄ /H ₂ $T_{\rm fil}$ =2700 K $T_{\rm gas-sub}$ =1160 K	$\frac{1\% CH_4/Ar}{T_{fil}=2700 K}$ $\frac{T_{gas-sub}=1130 K}{T_{gas-sub}=1130 K}$	$\frac{1\% CH_4/2\% H_2/97\% Ar}{T_{fil}=2700 K}$ $T_{gas-sub}=1163 K$	1%CH ₄ /H ₂ $T_{gas-sub}$ =1540 K, 800 W	1%CH ₄ /2%H ₂ /97%Ar $T_{gas-sub}$ =1816 K, 800 W	
t1.5	Species	$\overline{\text{Concentration/cm}^{-3}}$	Concentration/cm ⁻³	Concentration/cm ⁻³	$\overline{\text{Concentration/cm}^{-3}}$	Concentration/cm ⁻³	
t1.6	Н	4.00×10^{14}	2.78×10^{12}	3.98×10^{13}	1.73×10^{15}	2.68×10^{14}	
t1.7	CH ₃	7.64×10^{13}	1.01×10^{14}	1.76×10^{14}	1.33×10^{13}	1.20×10^{12}	
t1.8	C_2H_2	2.56×10^{14}	1.89×10^{14}	1.97×10^{15}	3.19×10^{15}	1.98×10^{15}	
t1.9	CH ₂	2.37×10^{10}	1.16×10^{9}	6.11×10^{10}	4.65×10^{10}	$4.53 imes 10^{10}$	
t1.10	CH	1.48×10^{8}	3.23×10^{6}	2.10×10^{9}	6.47×10^{8}	3.90×10^{9}	
t1.11	С	5.67×10^{10}	6.49×10^{4}	4.27×10^{9}	4.99×10^{9}	5.58×10^{10}	
t1.12	$C_2(a)$	-	5.79×10^{10}	3.27×10^{11}	9.74×10^{7}	1.76×10^{10}	
t1.13	$C_2(X)$	-	$2.36 imes 10^{10}$	1.11×10^{11}	5.69×10^{6}	2.28×10^{9}	
t1.14	C ₂ H	1.88×10^{7}	1.98×10^{9}	8.75×10^{9}	2.00×10^{10}	2.22×10^{12}	
t1.15	C ₂ H ₆	9.52×10^{12}	1.39×10^{14}	$1.18 imes 10^{14}$	1.41×10^{10}	2.67×10^{7}	
t1.16	C ₂ H ₄	4.45×10^{13}	1.17×10^{14}	1.56×10^{14}	3.24×10^{13}	2.54×10^{11}	
t1.17	C_2H_5	1.23×10^{11}	8.86×10^{11}	1.37×10^{12}	4.31×10^{9}	9.88×10^{6}	
t1.18	C ₂ H ₃	1.73×10^{11}	$1.76 imes 10^{11}$	1.39×10^{12}	6.66×10^{11}	2.15×10^{10}	
t1.19	H ₂	4.94×10^{17}	6.55×10^{14}	7.02×10^{15}	5.81×10^{17}	1.72×10^{16}	
t1.20	CH ₄	2.96×10^{15}	4.47×10^{15}	1.54×10^{15}	2.06×10^{14}	3.22×10^{12}	
t1.21	Ar	0	$5.05 imes 10^{17}$	4.87×10^{17}	0	5.09×10^{17}	
t1.22	Ratios						
t1.23	[H]:[CH ₃]	5.2	0.028	0.23	130	223	
t1.24	[H]:[C ₂]	_	34	91	1.7×10^{7}	1.3×10^{4}	
t1.25	[CH ₃]:[C ₂]	-	1240	402	1.3×10^{5}	60	
t1.26	Film type	MCD	No growth	No growth	MCD	UNCD	

This is a subset of the full calculated species distributions as a function of distance from the substrate, which will appear in a later paper [17]. $T_{gas-sub}$ is the calculated gas temperature just above the substrate surface, which is much lower than in the centre of the plasma ball or close to the filament. It is worth pointing out that although the calculated C₂ concentration at 1 mm from the substrate in the 1%CH₄/2%H₂/97%Ar plasma is only ~10¹⁰ cm⁻³, in the centre of the plasma ball it is ~10¹² cm⁻³, which is consistent with the measurements made using laser spectroscopic techniques [10]. Also given in the table are the ratios of the concentrations of atomic H, CH₃ and C₂ for the different conditions. Note that for the two gas compositions containing Ar, which do not give diamond growth in the HF reactor, the [H]:[CH₃] ratio is less than one. Also, for both reactors and all gas mixtures [CH₃]:[C₂] is very large, meaning that C₂ is

t1.27 a minority species near the substrate surface.

348 However, if the gas mixture is mostly Ar (as in a 1%CH₄/ 349 Ar gas mixture), then the converse is true: (a) the initial 350 concentration of H atoms created near the filament will be low (since the only source of H is from CH₄ which 351comprises only 1% of the input gas), (b) the larger collision 352353 cross-section for Ar means that diffusion coefficients of the 354 H atoms, CH₃ and other radicals in Ar will be $\sim 1.5-3$ times lower than in H₂, and (c) the thermal conduction coefficient 355 in Ar is ~ 10 times lower than in H₂ and thus the hot region 356 in H_2 will be larger than in Ar. All these factors mean that 357 358 the H atom concentration at the growth surface is no longer sufficiently high to perform all the reactions necessary for 359360 diamond growth efficiently.

361 Further evidence for this can be obtained by comparing 362 the concentrations of H and CH₃ for a hydrogen-rich system 363and for an Ar-rich system. Using the computer model outlined above, species concentrations were calculated for a 364 365 HF system for the two different gas mixtures, and are shown 366 in Table 1. This shows that for the 1%CH₄/Ar system, the 367 CH₃ concentration at the substrate surface is almost the same (factor of 1.3) as in the 1%CH₄/H₂ system, but more 368 369 importantly, that the H atom concentration is a factor of 144 370 times smaller. In terms of the [H]: [CH₃] ratio (which plays 371 an important role in determining the growth rate and quality 372 of diamond deposited), this has decreased from 5:1 to 1:36. 373 Since H atoms are believed to be essential in the standard 374 diamond growth mechanism, the scarcity of H atoms 375 explains the poor growth rate, whilst the relative abundance 376 of carbon species explains the tendency to form poor quality 377 diamond, nanodiamond or graphite. Adding extra H_2 to the 378 gas mixture improves matters, since this affects the initial concentration of H atoms, and so we see some diamond 379 380 deposition, although the growth rates remain slow, and the diamond quality is poor or nanocrystalline. 381

382 The situation is different for MW plasma systems 383 because of the spatially distributed source of the radicals and the different temperature distribution. In most MW 384 385 systems, the plasma ball extends to ~ 1 mm from the 386 substrate surface, and in some cases the substrate is even immersed in the edges of the plasma. Thus, the hot region is 387 388 much closer to the substrate in MW systems than in HF 389 systems (see Fig. 2). Measurements of plasma temperatures 390 in 1%CH₄/Ar plasmas have yielded values between 1600 K 391 [21] and 3000 K [10], which is sufficient to thermally 392 dissociate H₂. Since this occurs very close to the diamond 393 surface, the resulting H atoms can diffuse there readily and 394perform the H-abstraction reactions necessary for diamond 395growth. This process can also be improved by addition of 396 extra H₂, hence the observation of increased 'quality' of 397diamond and the transition from NCD to MCD with added 398H₂ [24].

Also from Table 1 we can determine the relative 400 importance of other gas phase species. For example, in a 401 MW reactor using the standard UNCD growth mixture of 402 1%CH₄/2%H₂/97%Ar, the concentration of C₂ is a factor of 403 100 times smaller than that of either CH₃ or C₂H, which suggests that C_2 may be much less important for the growth 404 of UNCD than the other two radicals. 405

6. Conclusions

We have extended the compositional map of Lin et al. 407[11] for the $Ar/CH_4/H_2$ system in a HF reactor to the gas 408mixing ratios commonly used to grow NCD and UNCD in 409MW reactors. We find that NCD growth is confined to a 410limited composition window at the boundary between the 411 MCD growth region and the 'no growth' region, and the 412NCD growth rates are very small (<0.1 μ m h⁻¹). The 413reason for this has been rationalised in terms of the degree 414 of gas mixture activation combined with the gas temperature 415 distribution within a HF reactor causing a substantial 416reduction in the concentration of H atoms along with a 417decrease in the [H]: [CH₃] ratio near to the growing 418substrate surface. The implications for successful (high 419 growth rate) UNCD deposition in HF reactors are therefore 420not promising. In order to create the necessary concen-421 trations of the growth species at the substrate surface, we 422would require a filament temperature of >3000 K, which is 423 prohibitively high, even for high-boiling-point metals such 424as tungsten. Alternatively, placing the filament closer to the 425substrate would help, but this would be at the expense of 426growth area which would then be limited to only a few 427 square millimetres. 428

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